



112003

14230 U.S. PTO

**Patent Application Transmittal**

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

22581 U.S. PTO  
10/718734

112003

<b>FIRM:</b> ANDERSON KILL & OLICK, P.C. <b>ADDRESS:</b> 1251 Avenue of the Americas New York, New York 10020 <b>TEL:</b> (212) 278-1000 <b>FAX:</b> (212) 278-1733		<b>EXPRESS MAIL</b>  Mailing label number: EV 331921492 US Date of Deposit: November 20, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: M/S Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.  _____ Audrey de Souza (Typed or printed name of person mailing paper or fee)  _____ Audrey de Souza (Signature of person mailing paper or fee)
		[37 CFR § 1.8 Certificate of Mailing CANNOT be used.]

Date: November 20, 2003  
Attorney Docket No.: DE-1539**COMMISSIONER FOR PATENTS**  
**Box Patent Application**  
**Washington, D.C. 20231**

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

**Nam-Tae WOO, Min-Suk KANG, Won-Young LEE, Chang-Hee LEE, Yong-Rok KIM, Dai-Woon LEE, Dong-Hoon WON, and Si-Hwan KO**entitled: **PORPHYRIN DERIVATIVES**☒ New Application☐ Continuing Application☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
of, filed.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively co-pending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

☐ The prior application is assigned of record to☒ This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee:

The following are enclosed:

- ☒ Specification including Abstract ( 31 pages )  
☒ 10 Sheet(s) of Drawings (Figs 1-10)  
☒ 48 Claim(s) (including 7 independent claims)  
☐ This application contains a multiple dependent claim  
☒ Information Disclosure Statement, PTO-1449 and 12 references;

- ☒ This filing fee has been calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee \$770.00 (\$385.00).....	\$385.00
Number of Claims in excess of 20 at \$18.00 (\$ 9.00) each: 28 .....	72.00
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each: 4 .....	168.00
Multiple Dependent Claim Fee at \$290.00 (\$145.00).....	00.00
<input checked="" type="checkbox"/> Assignment Recording Fee \$40.00.....	40.00
Total Filing Fee.....	<u>\$665.00</u>

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication and credit any overpayment to Deposit Account No. 01-1944. A duplicate copy of this sheet is enclosed

(X) Any additional filing fees required under 37 CRF 1.16  
(X) Any patent application processing fees under 37 CRF 1.17.

- ☒ Oath or Declaration and Power of Attorney  
☒ New ☒ signed ☐ unsigned  
☐ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

☐ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

☐ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to   . The new correspondence address is indicated above.

☐ Incorporation by Reference (for continuation or divisional application)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ A Preliminary Amendment is enclosed.  
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

☐ Cancel in this application original claims    of the prior application before calculating the filing fee.  
(At least one original independent claim must be retained for filing purposes.)

☒ New formal drawings are enclosed.

- A receipt in the Case of an Original Deposit under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Pursuit of Patent Procedure is enclosed.
- A Sequence Listing in computer readable format on diskette as well as a paper version and a statement according to the rules for submitting sequence listings are enclosed.
- X Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based is enclosed herewith. A list of said foreign priority application(s) is (are) provided below. Acknowledgement thereof is requested.

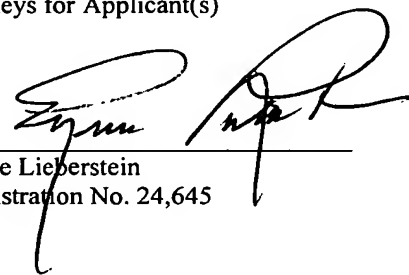
<u>Application No.</u>	<u>Filed</u>	<u>In</u>
10-2003-0002921	January 16, 2003	Republic of Korea
10-2003-0002922	January 16, 2003	Republic of Korea

- X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of the application or credit any overpayment to Deposit Account No. 01-1944. A duplicate copy of this sheet is enclosed

- (X) Any patent application processing fees under 37 CFR 1.17
- (X) Any filing fees required under 37 CFR 1.16 for the presentation of extra claims.

Respectfully submitted,

Attorneys for Applicant(s)


By:   
Eugene Lieberstein  
Registration No. 24,645

**DE1539**

**CERTIFICATE OF EXPRESS MAILING**

Mailing Label Number: EV 331921492 US  
Date of Deposit: November 20, 2003

I hereby certify that this PRELIMINARY AMENDMENT w/PATENT APPLICATION PAPERS is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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Audrey De Souza